Docket No.: 1330.1031

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Christopher T. VOIGT, et al.

Serial No. 09/366.749

Group Art Unit: 2164

Confirmation No. 3440

Filed: August 4, 1999

Examiner: Samuel G. Rimell

For: A SYSTEM PROVIDING DESKTOP INTEGRATION OF PATENT INFORMATION AND

DOCUMENT MANAGEMENT

COMMUNICATION TO THE EXAMINER

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

An IDS was filed on August 4, 1999. However, the Examiner has not yet acknowledged the IDS.

A Communication was filed on June 11, 2008, regarding this matter. The Communication included a copy of the IDS along with a USPTO mailroom-stamped filing receipt which confirms the filing of the IDS. An additional copy of the Communication filed June 11, 2008, along with the IDS and USPTO mailroom-stamped filing receipt, is provided herewith.

However, the Examiner has not yet acknowledge the IDS. Please note that the Issue Fee was paid on June 11, 2008, but the applicant has not received any communication from the USPTO since that date.

In view of the above, it is respectfully requested that the Examiner mail a Supplemental Notice of Allowability acknowledging the IDS filed August 4, 1999.

Date: June 12, 2009

Bv:

Paul I. Kravetz Registration No. 35,230

Respectfully submitted, STAAS & HALSEY LLP

1201 New York Ave, N.W., 7th Floor Washington, D.C. 20005 Telephone: (202) 434-1500

Facsimile: (202) 434-1501

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By: Paul I Krave

Paul I. Kravetz Registration No. 35,230

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Washington, D.C. 20005 Telephone: (202) 434-1500 Facsimile: (202) 434-1501 Please return this card, indicating receipt date and Serial No., if applicable, of the following Unity Patent Application Transmittal; New Application For Transmittal; 65 pages of specification, claims & abstract; 12 hosts of furwings; Executed Combined Declaration and Power of Attomary; Submission of Declaration, Information Declarates Declarates (Pome PTO-1449; 4 references; Assignment Recordation Cover Short; Executed Assignment; Cacch, 53, 208.00

Christopher Thomas VOIGT et al.

Applicant(s): A SYSTEM PROVIDING DESKTOP INTEGRATION OF PATIENT INFORMATION AND DOCUMENT MANAGEMENT

Title:

To be assigned

Serial No.: Concurrently herewith

Filing Date: 1330.1031/JRB

Docket No.:

Due Date:





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Christopher Thomas VOIGT et al.

Applicant(s': A SYSTEM PROVIDING

A SYSTEM PROVIDING DESKTOP INTEGRATION OF PATIENT INFORMATION AND DOCUMENT MANAGEMENT

Title: Serial No.:

To be assigned

Concurrently herewith

ing Date: 1330,1031/JRB

Filing Date:

Docket No.:

Due Date:



Attornay Docket No. 1330.1031/JRB

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In re Patent Application of:	}
Christopher Thomas VOIGT et al.) Group Art Unit: To be assigned
Application No.: To be assigned) Examiner: To be assigned
Filed: Concurrently herewith)

For: A SYSTEM PROVIDING DESKTOP INTEGRATION OF PATIENT INFORMATION AND DOCUMENT

MANAGEMENT

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed

- material to the examination of the subject application.
- 1. Enclosures accompanying this Information Disclosura Statament are:
 - 1a. [X] Form PTO-1449.
 - 1b. [X] Copies of IDS citations.
 - An English language copy of search report(s) from a counterpart foreign 1c. [] application or a PCT International Search Report.
 - English language translation (complete or relevant portlon(s)) attached to each 1d. [] non-English language publication.
 - Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for 1e. [] providing a concise explanation of each non-English publication.
 - 1f. [] List of Copending Applications (ATTACHMENT 1(f), hereto).
- 2. [] In accordance with 37 CFR \$1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

(Check appropriate Items 2a, 2b, 2c and/or 2d)

satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office. (Sae U.S. Patent & Trademark Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68).)

- 2b. [] set forth in the application.
- 2c. [] satisfied because an English language translation (complete or relevant portion(s)) is attached to each non-English language publication.
- 2d, [] enclosed as Attachment 1(e), hereto.
- No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT international Search Report, if submitted herewith). 37 CFR §\$ 1.97(a) and (h).

Respectfully submitted,

Registration No. 30,358

STAAS & HALSEY LLP

Dated: 9/4/99 700 Eleventh Street, N.W., Suite 500

Washington, D.C. 20001 Telephone: (202) 434-1500 Facsimile: (202) 434-1501

S&H IDS Form-New USPA filing (2/98) 91992, 1998 Stass & Halsey

Sheet 1 of 1

APPLICATION NO.

To be assigned

ATTORNEY DOCKET NO.

1330.1031/JRB

FIRST NAMED INVENTOR

Christopher Thomas VOIGT et al.

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

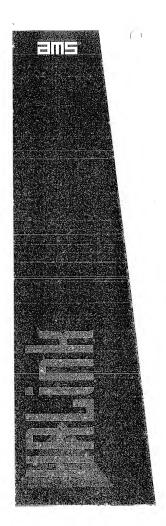
conformance and not considered. Include copy of this form with next communication to applicant.

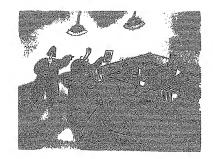
U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

FORM PTO-1449





HRLink Increases Productivity and Effectiveness

Today, most Human Resources (HR) departments depend on the manual flow of paper to process personnel or job application-related transactions. This method is slow, inefficient, and often hindered by lost documents or delays in filing hard copies. HRLink overcomes these obstacles by automating the maintenance of employee and applicant files and folders, establishing a far more efficient means to review job candidates and update employee information.

HR Solution Leverages the FileNET Platform

Ambibility is an advanced document imaging and workflow system designed specifically for HR information menagement—on the inclustry-leading FileNET platform. The system, which was built on our experience creating contemporary, state-of-the-art solutions for major corporations, leverages existing FileNET and AMS imaging and workflow technology—though it can be deployed as a standalone application.

Immediate Benefits throughout the Enterprise

HRLINK automates a wide variety of HR management activities throughout an organization

rity, and integrity of all employee and job applicantrelated documents (e.g. resumes, applications, test

by converting paper documents to electronic images and then using sophisticated, automatic workflow processing. Staff responsible for the storage, secu-

HRLink harnesses the power of document imaging and advanced workflow processing and eliminates the problems associated with paper based records.

ety of HR n organization *HRLink harnesses the power of document imaging*

HR Manager-Flexible, Speedy Chart Retrieval

Underlying HRLink is HRManager an advanced capability that helps users locate employee documents quickly throughout the enterprise. Built using object-oriented design techniques, HRManager features an intuitive graphical user interface that lets users customize the folder retrieval process to satisfy their specific preferences (i.e. users can define their individual tabs) and also gives simultaneous access to multiple users. HRManager also affords sophisticated securify for all folders according to hospital-defined criteria-providing administrative, annotation, document class, and user security features.

Employee/Job Applica

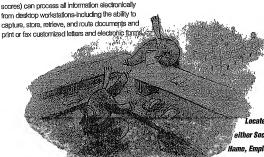
Process Functions

Querying for and Displayin

Requesting Approvals for A Generating Letters and Cor

Upgrading/Tracking Applic

Approving/Rejecting Emplo



Locate employee documents by either Social Security Number, Last Name, Employee Number or Job Title.

Fast Access to Personnel Information

The Employee Module efficiently manages various employee processing functions. Users capture, maintain, and retrieve employee demographic

information and associated employee documents (e.g. evaluations, benefits) in an electronic "personnel" folder. Folders can then be arranged using tabs to segregate groups of customized documents for fast, effi-

HRManager improves response time for viewing folders and ensures document level security.



dule Processing Functions

cient access.

		Job Applicant	Module	- Employee	Module
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/Employee-relate	d Requests			100	a
Electronic Forms.					
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Personnel Folder		•			
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Users can also route documents to work queues. Automated routing is initiated when a document has been captured into the system (either by scanning the hard copy or via electronic interface) and written to optical disk for permanent storage. A specific document is routed to a work queue based on the document type and business processing rules established in the system. Users can attach an electronic note or electronically approve a document. Additionally, users have the ability to mranually route documents to other system users, department sections, or "work" areas or create, store, and print letters and forms.

Take Control of Resumes and Applications

The Job Applicant Module provides the ability to maintain and monitor an applicant throughout the job application process by capturing, maintaining and processing job applicant information and associated documents (e.g. resumss, applications).

HRLink Delivers Big Benefits

- Improves customer service via improved access to employee information throughout the enterorise.
- Eliminates misfiled or misplaced documents.
- Reduces correspondence backlog.
- Recovers valuable filing space.
- Expedites release of information.
- Eliminates filing and re-filing of employee folders.
- Creates precise audit trails and automated monitoring of critical dates.
- Serves as an easy-to-use applicant tracking tool.
- Interfaces to external payroll systems that allow for nightty updates to the Employee Data. Table (EDT).

Strategic Consulting Services Add Value to Workflow Reengineering Projects

INDING and workflow solutions demand more than new software, systems development and integration services. Successful projects require an overall strategic vision that aligns an organization's operations more closely with its business objectives. AMS consultants work side by side with clients to thoroughly examine their business processes and identify management objectives. We then develop a framework for implementing a comprehensive imaging and workflow solutionoutlining ways to combine technology with process changes to increase productivity and reduce operating costs. We employ flexible approaches based upon our best practices to meet the unique needs of each client, and leverage lessons learned from our previous engagements.

Integrating Web and Other Complementary Technologies

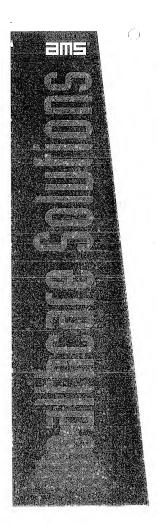
The rapid development of information technology constantly creates new opportunities for our clients to use technology to dramatically improve performance. At AMS, we apply our expertise to evaluate and incorporate value-added complementary technologies into our imaging and workflow solutions. Some of these include:

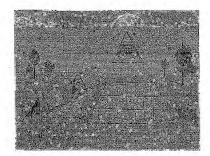
- ☑ Web/Internet/Intranet
- 図 COLD (Computer Output to Laser Disk)
- OCR/ICR (Optical/Intelligent Character Recognition)
- M Computer telephony and voice response
- Legacy systems integration tools

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Because of the nature of this material, numerous hardwere and softwere products are mentioned by name. In most ill not all cases, these product names are claimed as trademarks by the companies that menuticuture the products. It is not our intention to claim these names or trademerks as our own.







Enabing Health Systems to Thrive in Tomorrow's Environment

AMS develops comprehensive, enterprise systems solutions using imaging and workflow technologies for hospitals, health systems and HMOs. Our RecordLink® Suite of products helps redefine work processes and improve the management of information, tasks, and workflow associated with Medical Records (MR), Patient Financial Services (PFS), and Human Resources (HR).

The RecordLink Suite: Installed in more than 40 Maior Hospitals and Health Systems

WORKING closely with major hospitals, AMS has developed the RecordLink® Suite of products for MR, PFS, and HR using the market-leading FileNET platform. These reusable, proven solutions harness the power of document imaging and advanced workflow processing, eliminating the problems associated with paper-based records to increase productivity and effectiveness across the enterprise.

Enabling Health Systems to Thrive in Tomorrow's IDS Environment

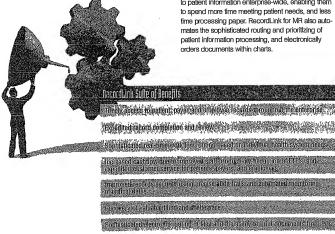
Medical Records: Immediate Benefits throughout the Enterprise

RECORDLINK for MR provides an unprecedented balance of control and online access to both clinical and administrative information covering patient visits. The award-winning solution lays the foundation for the computer-based patient record by integrating all functions of health information management - from capture and coding through chart completion and release of information.

RecordLink for MR accepts all types of paper documents as well as COLD (Computer Output to Laser Disk) from existing systems, making it easy to create

a record or "electronic" file folder for each patient containing demographic data and discharge information. Clinical and administrative staff have immediate, secure access

to patient information enterprise-wide, enabling them mates the sophisticated routing and prioritizing of patient information processing, and electronically





Human Resources: Fast Access to Personnel and Job Applicant Information

personnel record management and employee personnel record management and employee application tracking as well as a host of other human resource activities. Staff responsible for the storage, security and integrity of all employee, and to-related documents (e.g. résumés, applications, et scores) can process all information electronically in desktop workstations. These capabilities alude the ability to capture, store, retrieve, and tute documents and print or fax customized letters and electronic forms. It features a user-friendly raphical user interface that makes it easy to navigate throughout the system and allows users to customize this interface to suit their own needs and preferences.

Patient Financial Services: Quickly Delivers Bottom Line Results

Recordink for PFS streamlines nearly every aspect of patient intake, patient financial services, and customer service, helping organizations respond more efficiently to requests from hospitals or clinics, physicians, patients, regulatory agencies, third-party payors and attorneys. Fast and accurate response eliminates the work processing bottlenecks that negatively affect cash flow, employee morale, and ultimately, the quality of care. Recordlink for PFS pays for itself by reducing administrative costs and freeing up cash through quicker accounts receivable processing.



Building Enterprise Solutions for Healthcare

AMS also builds electronic commerce (eCommerce) and data warehousing solutions and provides a full range of information technology consulting services—everything from strategic business systems analysis and network-based systems architecture to the full implementation of solutions that produce cenuine results, on time and within budget.

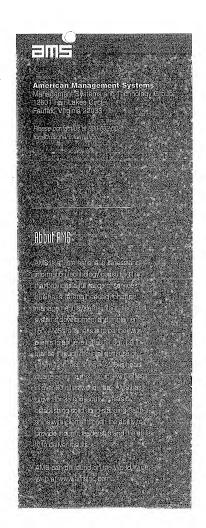
AMS develops data warehouse solutions that unlock the power of information—integrating clinical, financial, and administrative data across traditional application boundaries to enhance business performance and help healthcare organizations make critical risk-based decisions.

Our eCommerce solutions can help health organizations reduce the cost of purchasing goods or services, improve customer service through a self-service approach, minimize training costs, decrease maverick purchases, and dispel cross-platform issues.

IT solutions demand more than new software, systems development and integration services. Successful projects require an overall strategic vision that aligns an organization's operations more closely with its business objectives. AMS professionals work side by side with clients to thoroughly examine their business processes and identify management objectives. Together we develop a framework for implementing a comprehensive solution—outlining ways to combine technology with process changes to increase productivity and reduce operating costs.

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Because of the nature of this material, numerous hardware and software products are mentioned by name. In most if not all cases, these product names are claimed as tredemerks by the compenies that manulacture the products, if is not our intention to alimit has names or trademarks as our own.







Document Imaging and Work How Soltwarefor: Patient Information Management

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DOCUMENT IMAGING



o provide superior customer service in a competitive environment, today's hospitals and evolving health care delivery systems require functionality and flexibility shat go beyond existing patient financial services systems. AccountLinkTM, an advanged software package for patient information management, works with existing hospital information systems to help health care organizations achieve breakthrough performance in patient financial services.

AccountInk brings the power of document imaging and work flow technology to patient financial services. AccountLink eliminates the constraints of the paper and file folder work environment, enabling your health care organization to streamline operations and manage work processes more effectively. By using readily accessible "electronic" account folders and work queues, AccountLink permits instant access to patient Information folders contributing to faster collections of outstanding receivables. As a result, service quality, productivity, and collections are increased, while costs are reduced.

Functions

Patient Intake

Insurance Verification and Certification

Financial Counseling

Bill Validation

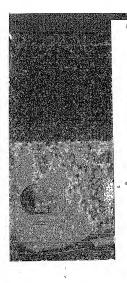
Insurance Follow-up

Refunds

Collections

Customer Service





THE BOTTOM LINE: RESULTS

Originally designed, developed, and implemented in conjunction with a major acute care hospital, AccountLink streamlines daily receivables processing and, as a result, delivers proven bottom line results. AccountLink users have found that the system pays for itself quickly by reducing administrative costs, and frees up cash by reducing accounts receivable days. AccountLink ...

- Increases cash flow as a result of more complete insurance verification at registration, expedited validation and faster issue resolution in follow-up and collections
- Streamlines patient registration and admitting—cuts patients' waiting time and reduces.your costs
- Expedites customer service for both patients and third-party payers—with AccountLink, most inquiries or disputes can be resolved with one phone call
- Makes patient information more accessible by distributing it throughout multiple clinical and administrative departments
- Creates a precise audit trail and automatic monitoring of critical dates for patient pre-admission, admission/registration, certification/re-certication, discharge, and billing
- Enables your staff to route patient files electronically, print or fax copies of documents from their workstations, and create electronic forms and form letters

ACCQÜNTLINK PROVIDES A HIGH DEGREE OF FLEXIBILITY WITHOUT SACRIFICING PERFORMANCE OR USER-FRIENDLINESS.

Operating in a Windows[™] environment on standard personal computers equipped with large-screen, high-resolution monitors, AccountLink is comprehensive and powerful, yet easy to use. AccountLink was developed using FileNet's® WorkFlo®, the CORACLE® relational database management system, and the C programming language. Using the market-leading FileNet imaging platform, AccountLink operates on UNIX™-based servers from IBM®, Hewlett-Packard, or Sun Microsystems®. AccountLink's open client/server architecture allows for expansion from a single department to an enterprise-wide network as your organization grows.





ACCOUNTLINK AUTOMATES CRITICAL BUSINESS OFFICE TASKS USING WORK FLOW TECHNOLOGY.

AccountLink eliminates bottlenecks and improves efficiently throughout the patient financial services office. AccountLink:

- Stores required documents—such as consent forms, patient and insurer correspondence and facsimiles, and assignment of benefits forms—as document images within an electronic patient Information folder, making them immediately available to all user departments
- Allows users to simultaneously view document images alongside the patient's financial records—maintained in your existing hospital information systems by entering a patient's name, account number, or medical record number
- Manages users' workloads with electronic "in-baskets" of patient folders to be processed, assuring that critical dates are met
- Provides immediate access to the complete record, including patient financial data and document images, to promote one-call resolution of billing questions or issues

With AccountLink, your staff can more efficiently respond to requests for information from the hospital or clinics, physicians, patients, regulatory agencies, insurers and other health plans, and attorneys. Fast, accurate response to these requesters eliminates work processing bottlenecks that negatively affect financial performance, employee morals, and utilimately, the perceived quality of service to patients, physicians, and health oleans.



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Systems construction
 support

S Clinical data integration and access



American Management Systems can help your organization utilize this powerful new tool to meet the challenges of health care competition.

AMS's business is to partner with clients to achieve breakthrough performance through the intelligent use of information technology. AMS is a business and information technology consulting firm that provides a full range of services; business re-engineering, change management, systems integration, and systems development and implementation. AMS has sustained an annual growth rate of approximately 20% for the past decade, has revenues exceeding \$800M annually, and has a staff of over 6,000 specialists in applied information technology.

AMERICAN MANAGEMENT SYSTEMS IS FILENET'S PREMIER SOLUTION ALLIANCE PARTNER FOR HEALTH CARE.

AMS offers comprehensive, enterprise-wide systems solutions for the management of patient information using imaging and work flow technology, in partnership with FileNet Corporation, widely recognized as the world leader in imaging platforms for large scale applications.

AccountLink was designed to be fully integrated with AMS's other health care software products, including our RecordLink® software for health Information management. As a stand-alone package, or in combination with our other software, AccountLink will give your organization the tools to achieve breakthrough performance.



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North American Offices

International Offices





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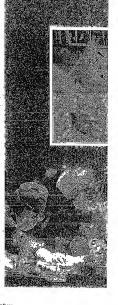
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xisting health information management systems do not provide the degree of integration, functionality, and flexibility that today's highly competitive health care institutions demand. Despite industry-wide investment in new computers, networks, and information systems, less than ten percent of the information managed by health care providers is automated today. The rest is generated, stored, and reproduced entirely on paper.

RecordLink® satisfies all the major requirements of the health information management department. RecordLink, an integrated software package that uses document imaging and work flow technology, addresses the work flow associated with the compelition, storage, and retrieval of patient information, in accordance with the standard practices for health information management prescribed by the Joint Commission for Accreditation of Health Care Organizations (LOAHO).







Functions

Clinical Information Capture
Document Routing
Deficiency Analysis
Coding and Abstracting
Chart Completion
Release of Information
Re-analysis



DEMONSTRATED BENEFITS

RecordLink supports the work processes of the health information management department while providing a powerful clinical tool with benefits for the entire organization. RecordLink:

- Improves the quality of care by making patiënt information immediately accessible throughout your organization's facilities
- Expedites physician chart completion using electronic signature
- Maximizes productivity and technology benefits through work-flow re-engineering, with the flexibility to evolve as your business processes and information systems grow and change
- Eliminates the aggravation and waste associated with misfiled or misplaced documents, and reduces paper storage needs and handling costs,
- Creates an "electronic continuum of care record," providing a permanent audit trail for patient information and enhancing records security
- Streamlines the release of information process by automating patient information retrieval and distribution, eliminating the need for a third-party corfespondence company

RECORDLINK BRINGS TOGETHER CLINICAL AND ADMINISTRATIVE INFORMATION

RecordLink manages information covering all patient visits, laying the foundation for the computerized patient record. RecordLink allows patient information currently captured and maintained in other information systems throughout the hospital (e.g., laboratory and transcription systems) to be electronically transmitted to and stored in the new "electronic" health information folder.

A sophisticated health information retrieval capability, tailored to your needs, manages request, display, and printing of patient information. A powerful display capability makes it easy to compare clinical data from multiple patient encounters.

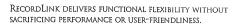




RECORDLINK PUTS THE POWER OF IMAGING AND WORK FLOW TECHNOLOGY AT YOUR FINGERTIPS

RecordLink was developed by carefully combining imaging systems expertise with real world medical records experience. This network-based, client/server application software package combines automated links to existing hospital systems with fast, efficient conversion of documents into digitized document images stored on optical disks.

Sophisticated, customizable work flow programs manage access to clinical information as well as the routing and prioritizing of medical records processing. And because RecordLink is based on an open systems architecture, you can expand from a single server system to an enterprise-wide network that supports hundreds of workstations as your needs grow.



Operating in a Windows® environment on standard personal computers equipped with large, high-resolution monitors, RecordLink is comprehensive and powerful, yet easy to use. RecordLink was developed using the FileNet® WorkFlo® language, the ORACLE® relational data base, and the C programming language.

RecordLink provides a common, graphical user interface for clinicians, administrators and department personnel with customized screens, work flow queues, and processing options to increase productivity and responsiveness. **est** promoting config.

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American Management Systems can help your organization utilize this powerful new tool to meet the challenges of health care competition.

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RecordLink was designed to be fully integrated with AMS's other health care software products. As a stand-alone package, or in combination with our other software, RecordLink will give your organization the tools to achieve breakthrough performance.



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American Management Systems, its

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